10-24,05

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 10/814,527 **Application Number** Filing Date March 30, 2004 TRANSMITTAL **FORM** First Named Inventor Robert Alpern (to be used for all correspondence after initial filing) 1615 Art Unit **Examiner Name** Thurman K. Page Total Number of Pages in This Submission 29329-714.201 Attorney Docket Number ENCLOSURES (Check all that apply) After Allowance communication to Fee Transmittal Form Drawing(s) Technology Center (TC) Appeal Communication to Board Fee Attached Licensing-related Papers of Appeals and Interferences Appeal Communication to TC Amendment/Reply Petition (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final **Proprietary Information** Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) Extension of Time Request Terminal Disclaimer (please identify below): PTO/SB/08 **Express Abandonment Request** Request for Refund Return Post Card Information Disclosure Statement CD, Number of CD(s) 32 References International Search Report Landscape Table on CD Certified Copy of Priority Remarks Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY OR AGENT SON SONSINI GOODRICH & ROSATI WIL Firm Name Signature Printed Name Anie K. Roche 2005 Date 50,512 Reg. No. CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as express mail (EV 711413126 US) in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

inventor: Robert Alpern, et al.

Serial Number: 10/814,527

Filing Date: March 30, 2004

Title: METHODS AND COMPOSITIONS FOR

TREATMENT OF ION IMBALANCES

Group Art Unit: 1615

Examiner: Thurman K. Page

CONFIRMATION NO: 6886

EXPRESS MAIL LABEL NO.: EV 711413126 US

Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Sir:

Applicant(s) hereby submit(s) an Information Disclosure Statement along with attached form(s) PTO/SB/08A. A copy of each listed publication is being submitted, if required, pursuant to 37 C.F.R. §§1.97-1.98, as indicated below.

Applicant(s) respectfully request(s) that the listed information be considered by the Examiner and be made of record in the above-identified application. Applicant(s) further request that the Examiner initial and return the attached form(s) PTO/SB/08 in accordance with MPEP §609.

Applicant(s) reserve(s) the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, prior art or material to patentability as defined in §1.56.

because		§1.97(b). This Information Disclosure Statement should be considered by the Office
		It is being filed within 3 months of the application filing date of a national application and is other than a continued prosecution application under §1.53(d); OR
		It is being filed within 3 months of entry of a national stage as set forth in §1.491 in an international application; OR
	\boxtimes	It is being filed before the mailing date of the first Office Action on the merits; OR
		(4) It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under §1.114.
	specific office closes	$\S1.97(c)$. Although this Information Disclosure Statement is being filed after the period in 37 CFR $\S1.97(b)$, above, it is filed before the mailing date of the earlier of (1) a final tion under $\S1.113$, (2) a notice of allowance under $\S1.311$, or (3) an action that otherwise osecution on the merits, this Information Disclosure Statement should be considered because impanied by one of:
		a certification as specified in §1.97(e) provided concurrently herewith; OR
		a fee of \$180.00 as set forth in §1.17(p) authorized below, enclosed, or included with the payment of other papers filed together with this statement.
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	A.	a certification as specified in §1.97(e); and
	B.	a fee of \$180.00 as set forth in \$1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this Statement.
		§1.97(e). A certification signed by an Attorney of Record is provided herewith as required CFR §§1.97(b) and (c).
\boxtimes	37 CFI	$\S1.98(a)(2)$. The content of the Information Disclosure Statement is as follows:
		Copies of each of the references listed on the attached Form PTO/SB/08A are enclosed nerewith.
	\boxtimes	Copies of U.S. Patent Documents (issued patents and patent publications) listed on the attached Form PTO/SB/08A are NOT enclosed.
		AND/OR Copies of Foreign Patent Documents and/or Non Patent Literature Documents listed on the attached Form PTO/SB/08A are enclosed in accordance with 37 CFR §1.98(a)(2). AND/OR
		Copies of pending unpublished U.S. patent applications are enclosed in accordance with 17 CFR §1.98(a)(2)(iii).

\boxtimes	37 CF	- , , , ,	sure Statement includes non-English patents and/or
			concise explanation of the relevance of each patent, ed that is not in English is provided herewith.
		Pursuant to 37 CFR §1.98(a)(3)(ii), a coabstract), of the non-English language r	opy of a translation, of a portion (i.e. English language eference(s) is provided herewith
\boxtimes			n corresponding patent application(s), submitted in attached certification under 37 CFR §1.97(e)(1).
\boxtimes	\$0.00 a		by authorized to charge the above-referenced fees of any overpayment associated with this communication 9329-714.201).
		,	Respectfully submitted,
			WILSON SONSINI GOODRICH & ROSATI
Dated:	10	21/2005	By: One Polle
		1	Anie K. Roche, Reg. No. 50,512
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	to, CA 9 93-9300	94304-1050	
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Customer No. 021971



CERTIFICATION

(Attachment to Information Disclosure Statement)

	37 C.F.R.§1.97(e)(1). APPLICANT'S UNDERSIGNED ATTORNEY HEREBY CERTIFIES THAT each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or
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	Respectfully submitted,
Dated:	10 21 2005 By: Anie K Roche

Reg. No. 50,512

650 Page Mill Road Palo Alto, CA 94304-1050 (650) 565-3856 Customer No. 021971

PTO/SB/08A (07-05)

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10	*	/			plete if Known
Substitute for	CREAT 1449	/PTO		Application Number	10/814,527
INFORM	IATION I	DISC	LOSURE	Filing Date	March 30, 2004
	MENT BY			First Named Inventor	Robert Alpern
(Use as	many sheets	s as ne	cessary)	Art Unit	1615
				Examiner Name	Thurman K. Page
Sheet	1	of	3	Attorney Docket Number	29329-714.201

		U.S. PA	ATENT DOC	UMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1.	US-2,611,730	09-23-1952	Heming et al.	
	2.	US-2,909,462	10-20-1959	Warfield et al.	
	3.	US-4,143,130	03-06-1979	Imondi	
	4.	US-4,470,975	09-11-1984	Berger	
	5.	US-5,051,253	09-24-1991	Llyod-Jones	•
	6.	US-5,141,927	08-25-1992	Krotkiewski	
	7.	US-5,281,631	01-25-1994	Horwitz	
	8.	US-5,374,422	12-20-1994	Pierre	
	9.	US-5,607,669	03-04-1997	Mandeville	
	10.	US-5,633,344	05-27-1997	Figuly	
	11.	US-5,718,920	02-17-1998	Notenbomer	
	12.	US-6,294,163	09-25-2001	Dhal	
	13.	US-2002/0146386 A1	10-10-2002	Simon et al.	·
	14.	US-2003/0027789 A1	02-06-2003	Yamaoka et al.	

		FOREIGN	PATENT DO	CUMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ³ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	15.	EP 0730494 B1	02-04-1998	Salternate B.V.		1
	16.	WO 01/51063 A1 (with English abstract)	07-19-2001	Otsuka Pharmaceutical Factory, Inc.		
	17.	WO 02/062356 A2	08-15-2002	Ash Medical Systems, Inc.		
	18.	WO 02/40039 A2	05-23-2002	The Dow Chemical Company		
	19.	WO 92/10522	06-25-1992	Imperial Chemical Industries PLC		
	20.	WO 94/27619	12-08-1994	Geltex, Inc.		ļ
	21.	WO 95/14531	06-01-1995	Sansel		
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Examiner			Date		
Signature		l c	Considered		

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				Com	plete if Known
Substitute fo	or form 144	9/PTO	ı	Application Number	10/814,527
INFORM	IATION	DISC	CLOSURE	Filing Date	March 30, 2004
			PLICANT	First Named Inventor	Robert Alpern
(Use a	s many shee	ts as ne	cessary)	Art Unit	1615
		•		Examiner Name	Thurman K. Page
Sheet	2	of	3	Attorney Docket Number	29329-714.201

•		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	22.	Berlyne, G.M., et al. "Cation exchange resins in hyperkalaemic renal failure." (1967) Israel J Med Sci 3(1): 45-52	
	23.	Chourasia, M.K., et al., "Pharmaceutical approaches to colon targeted drug delivery systems." (2003) J. Pharm Pharm Sci 6(1): 33-66.	
	24.	Corcoran, A.C., et al., "Controlled observations on the effect of low sodium dietotherapy in essential hypertension." (1951) Circulation 3(1): 1-16.	
	25.	Danowski, T.S., et al., "Changes in fecal and serum constituents during ingestion of cation and anion exchangers." (1953) Ann N Y Acad Sci. 57(3): 273-9	
	26.	Emerson, K., Jr., et al., "The role of the gastro-intestinal tract in the adaptation of the body to the prevention of sodium depletion by cation exchange resins." (1953) Ann N Y Acad Sci. 57(3): 280-90	
	27.	Emmett, M., et al. "Effect of Three Laxatives and a Cation Exchange Resin on Fecal Sodium and Potassium Excretion." (1995) Gastroenterology 108(3): 752-60	
	28.	Evans, B.M., et al. "Ion-exchange resins in the treatment of anuria." (1953) Lancet 265(6790): 791-5	
	29.	Field, H., Jr., et al., "Electrolyte changes in ileal contents and in feces during restriction of dietary sodium with and without the administration of cation-exchange resin." (1955) Circulation 12(4): 625-9	
	30.	Field, H., Jr., et.al., "Mechanisms regulating the retention of sodium in the feces by cation-exchange resin: release of base from the resin by bacterial fermentation in the terminal ileum." (1958) J Lab Clin Med 51(2): 178-84	
	31.	Fourman, P. "Capacity of a cationic exchange resin (zeo-karb 225) in vivo." (1953) British Medical Journal 1(4809): 544-6	
	32.	Greenman, L., et al. "Biochemical changes accompanying the ingestion of a carboxylic cation exchanger in the hydrogen, ammonium, sodium, potassium, or calcium form." (1951) J Clin Invest. 30(9): 995-1008	
	33.	Gruy-Kapral, C., et al., "Effect of single dose resin-cathartic therapy on serum potassium concentration in patients with end-stage renal disease." (1998) J Am Soc Nephrol 9(10): 1924-30	
	34.	Harthon, J.G.L., et al., "A CASE OF UREMIA AND HYPERPOTASSEMIA TREATED WITH SULPHONIC CATION-EXCHANGE RESIN" (1952) Acta Med Scan., 144(3): 230-6	

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Examiner	· · · · · · · · · · · · · · · · · · ·	Date	
signature	·	Considered	

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INFORM	IATION	DISC	CLOSURE	Filing Date	March 30, 2004
			PLICANT	First Named Inventor	Robert Alpern
(Use a	is many shee	ets as ne	cessary)	Art Unit	1615
			•	Examiner Name	Thurman K. Page
Sheet	3	of	3	Attorney Docket Number	29329-714.201

Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. Heming A. E., et al., "Considerations in the selection of cation exchange resins for therapeutic use." (1953) Ann N Y Acad Sci. 57(3):239-51 Irwin, L., et al. "The effect of a cation exchange resin on electrolyte balance and its use in edematous states." (1949) J Clin Invest. 28(6, Pt. 2): 1403-11 Kohlstaedt, K.G., et al., "Clinical experience with mixtures of anion and cation exchange resins." (1953) Ann N Y Acad Sci. 57(3): 260-72 Mason N.S., et al., "A new ion exchanger with high in vivo sodium capacity." (1985) Kidney Int Suppl. 28(17): S178-182 Mateer, F.M., et al., "SODIUM RESTRICTION AND CATION EXCHANGE RESIN THERAPY IN NEPHROTIC CHILDREN" (1951) J Clin Invest. 30(9): 1018-26 McChesney, E.W. "Effects of long-term feeding of sulfonic ion exchange resin on the growth and mineral metabolism of rats." (1954) Am J Physiol. 177(3):395-400 McChesney, E.W., et al., "Some aspects of cation exchange resins as therapeutic agents for sodium removal."	T ²
Irwin, L., et al. "The effect of a cation exchange resin on electrolyte balance and its use in edematous states." (1949) J Clin Invest. 28(6, Pt. 2): 1403-11 Kohlstaedt, K.G., et al., "Clinical experience with mixtures of anion and cation exchange resins." (1953) Ann N Y Acad Sci. 57(3): 260-72 Mason N.S., et al., "A new ion exchanger with high in vivo sodium capacity." (1985) Kidney Int Suppl. 28(17): S178-182 Mateer, F.M., et al., "SODIUM RESTRICTION AND CATION EXCHANGE RESIN THERAPY IN NEPHROTIC CHILDREN" (1951) J Clin Invest. 30(9): 1018-26 McChesney, E.W. "Effects of long-term feeding of sulfonic ion exchange resin on the growth and mineral metabolism of rats." (1954) Am J Physiol. 177(3):395-400	
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Ross, E.J., et al. "Observations on cation exchange resins in the small and large intestines." (1954) Clin Sci 13(4): 555-66	
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Examiner		Date	
signature		Considered	

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